MAR 0 8 2004

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ROSENTHAL et al.

Serial No.: 09/893,339

Filed: June 26, 2001

Confirmation No.: 1140

Atty. File No.: 42830-00236

For: "COMPOSITION FOR DELIVERY OF HEMATOPOIETIC GROWTH FACTOR"

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §1.56, Applicant hereby submits this Supplemental Information Disclosure Statement with copies of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified patent application.

Also enclosed is a Statement Under 37 C.F.R. 1.97(e) Accompanying Information Disclosure Statement. While Applicant believes no fees are due in connection herewith, please charge all required fees under 37 C.F.R. 1.17 to Deposit Account No. 50-1419.

Group Art Unit: 1647

Examiner: DeBerry, Regina M.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON MARCH 4, 2004.

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BY:

DAXMARA SANCHEZ



Date: Ma(ch 4, 2004

Respectfully submitted,

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## STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. INFORMATION DISCLOSURE STATEMENT 42830-00236 In Re Application Of: ROSENTHAL et al. MAR 0 8 2004 Filing Date Serial No. Examiner Group Art Unit 09/893,339 June 26, 2001 DeBerry, Regina M. 1647 Invention: "COMPOSITION FOR DELIVERY OF HEMATOPOIETIC GROWTH FACTOR" TO THE COMMISSIONER FOR PATENTS: This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application. Check applicable statement herebelow: Statement Under 37 CFR 1.97(e)(1) Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Statement Under 37 CFR 1.97(e)(2) No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. Dated: Mrch 4,2004 Ross E. Breyfogle, Ésq. Reg. No. 36,759 Certificate of Mailing by First Class Mail MARSH FISCHMANN & BREYFOGLE LLP I certify that this document is being deposited on 3151 S. Vaughn Way, Suite 411 03/04/04 with the U.S. Postal Service as first Aurora, Colorado 80014 class mail under 37 C.F.R. 1.8 and is addressed to the Telephone: 303-338-0997 Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450.

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Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

Facsimile: 303-338-1514

## 09/893,339 42830-00236 Applicant(s) INFORMATION DISCLOSURE CITATION Rosenthal et al. (Use several sheets if necessary) MAR 0 8 2004 Filing Date Group Art Unit June 26, 2001 1647 **U.S. PATENT DOCUMENTS** BADENIE EXAMINER FILING DATE CLASS SUBCLASS DOCUMENT NUMBER DATE NAME INITIAL IF APPROPRIATE 5,292,516 03/08/94 VIEGAS ET AL. 424 423 09/13/94 VIEGAS ET AL. 424 486 5,346,703 FOREIGN PATENT DOCUMENTS Translation DOCUMENT NUMBER REF DATE COUNTRY CLASS SUBCLASS YES NO 07/21/93 47/10 EP0551626A1 **EUROPE A61K** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER DATE CONSIDERED**

Docket Number (Optional)

Application Number

Form PTO-A820 (also form PTO-1449)

considered. Include copy of this form with next communication to applicant.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and no